

## SPECTRAL AND HYDRATION PROPERTIES OF ALLOPHANE AND IMOGOLITE

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**Abstract**—Allophane and imogolite are common alteration products of volcanic materials. Natural and synthetic allophanes and imogolites were characterized in the present study in order to clarify the short-range order of these materials and to gain an understanding of their spectral properties. Spectral analyses included visible/near-infrared (VNIR), and infrared (IR) reflectance of particulate samples and thermal-infrared (TIR) emissivity spectra of particulate and pressed pellets. Spectral features were similar but not identical for allophane and imogolite. In the near-infrared (NIR) region, allophane spectra exhibited a doublet near 7265 and 7120  $\text{cm}^{-1}$  (1.38 and 1.40  $\mu\text{m}$ ) due to  $\text{OH}_{2\nu}$ , a broad band near 5220  $\text{cm}^{-1}$  (1.92  $\mu\text{m}$ ) due to  $\text{H}_2\text{O}_{\nu+\delta}$ , and a band near 4560  $\text{cm}^{-1}$  (2.19  $\mu\text{m}$ ) due to  $\text{OH}_{\nu+\delta}$ . Reflectance spectra of imogolite in this region included a doublet near 7295 and 7190  $\text{cm}^{-1}$  (1.37 and 1.39  $\mu\text{m}$ ) due to  $\text{OH}_{2\nu}$ , a broad band near 5200  $\text{cm}^{-1}$  (1.92  $\mu\text{m}$ ) due to  $\text{H}_2\text{O}_{\nu+\delta}$ , and a band near 4565  $\text{cm}^{-1}$  (2.19  $\mu\text{m}$ ) due to  $\text{OH}_{\nu+\delta}$ . A strong broad band was also observed near 3200–3700  $\text{cm}^{-1}$  (~2.8–3.1  $\mu\text{m}$ ) which is a composite of  $\text{OH}_\nu$ ,  $\text{H}_2\text{O}_\nu$ , and  $\text{H}_2\text{O}_{2\delta}$  vibrations. Visible/near-infrared spectra were also collected under two relative humidity (RH) conditions. High-RH conditions resulted in increasing band strength for the  $\text{H}_2\text{O}$  combination modes near 6900–6930  $\text{cm}^{-1}$  (1.45  $\mu\text{m}$ ) and 5170–5180  $\text{cm}^{-1}$  (1.93  $\mu\text{m}$ ) in the allophane and imogolite spectra due to increased abundances of adsorbed  $\text{H}_2\text{O}$  molecules. Variation in adsorbed  $\text{H}_2\text{O}$  content caused an apparent shift in the bands near 1.4 and 1.9  $\mu\text{m}$ . A doublet  $\text{H}_2\text{O}_\delta$  vibration was observed at 1600–1670  $\text{cm}^{-1}$  (~6.0–6.2  $\mu\text{m}$ ) and a band due to OH bending for  $\text{O}_3\text{SiOH}$  was observed at ~1350–1485  $\text{cm}^{-1}$  (~6.7–7.4  $\mu\text{m}$ ). The Si–O–Al stretching vibrations occurred near 1030 and 940  $\text{cm}^{-1}$  (~9.7 and 10.6  $\mu\text{m}$ ) for allophane and near 1010 and 930  $\text{cm}^{-1}$  (~9.9 and 10.7  $\mu\text{m}$ ) for imogolite. OH out-of-plane bending modes occurred near 610  $\text{cm}^{-1}$  (16.4  $\mu\text{m}$ ) for allophane and at 595  $\text{cm}^{-1}$  (16.8  $\mu\text{m}$ ) for imogolite. Features due to Si–O–Al bending vibrations were observed at 545, 420, and 335  $\text{cm}^{-1}$  (~18, 24, and 30  $\mu\text{m}$ ) for allophane and at 495, 415, and 335  $\text{cm}^{-1}$  (~20, 24, and 30  $\mu\text{m}$ ) for imogolite. The emissivity spectra were obtained from pressed pellets of the samples, which greatly enhanced the spectral contrast of the TIR absorptions. Predicted NIR bands were calculated from the mid-IR fundamental stretching and bending vibrations and compared with the measured NIR values. Controlled-RH X-ray diffraction (XRD) experiments were also performed in order to investigate changes in the mineral structure with changing RH conditions. Both allophane and imogolite exhibited decreasing low-angle XRD intensity with increasing RH, which was probably a result of interactions between  $\text{H}_2\text{O}$  molecules and the curved allophane and imogolite structures.

**Key Words**—Allophane, Emission Spectroscopy, Imogolite, Reflectance Spectroscopy, XRD.

### INTRODUCTION

Allophane and imogolite frequently occur together as clay-sized soil components derived from volcanic ash and pumice or as alteration products of basaltic saprolite (e.g. Henmi and Wada, 1976; Wada, 1987; Parfitt, 2009). These two aluminosilicates form under related geochemical conditions, but they have different structures. They are listed as minerals by the International Mineralogical

Association (<http://www.ima-mineralogy.org/>), but are considered non-mineral phases by the Clay Minerals Society (<http://www.clays.org/GLOSSARY/GlossIntro.html>) due to their lack of sufficiently well defined structures. The ideal chemical formula for imogolite is  $(\text{OH})_3\cdot\text{Al}_2\text{O}_3\cdot\text{SiOH}$  (Parfitt, 2009). The chemical formula of allophane is variable and has been given as  $1.0\text{--}2.0\text{SiO}_2\cdot\text{Al}_2\text{O}_3\cdot 2.5\text{--}3.0\text{H}_2\text{O}$  (Wada, 1987). Both minerals readily adsorb  $\text{H}_2\text{O}$  and hold significantly more  $\text{H}_2\text{O}$  per formula unit under moist conditions than under dry conditions. Previous studies have examined in detail the mineral structure, chemistry, and infrared transmission spectra (e.g. Henmi and Wada, 1976; Farmer *et al.*, 1977; Parfitt *et al.*, 1980).

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The present study builds on this previous work to measure and analyze the spectral properties of allophane and imogolite by using reflectance spectra in the VNIR and mid-IR regions and emissivity spectra in the TIR region. These reflectance and emissivity spectra are necessary for remote mineral identification, and this study will enable inclusion of allophane and imogolite in spectral libraries. This will further allow for their consideration in remote sensing investigations on Earth and other planets. Both natural samples from Japan (Figure 1) and synthetic samples are characterized in the present study. In order to examine the effects of humidity and changing hydration state of the samples on the spectral features, XRD data have been acquired over a range of relative humidity (RH) conditions and VNIR reflectance spectra were obtained under two RH conditions. The influence of hydration level on the IR and XRD signatures are especially important for remote

sensing studies of allophane and imogolite on Earth and the identification of these components on Mars and other planetary bodies that have a much drier environment.

## BACKGROUND

Allophane and imogolite form primarily through alteration of volcanic ash and most commonly occur where ash is an important soil component (*e.g.* Fieldes, 1955; Yoshinaga and Aomine, 1962; Wada, 1967; Wada *et al.*, 1972; Henmi and Wada, 1976; Nagasawa, 1978; Theng *et al.*, 1982; Parfitt, 2009). X-ray diffraction (*e.g.* van der Gaast *et al.*, 1985; Parfitt, 1990), IR spectroscopy (Russell *et al.*, 1969; Cradwick *et al.*, 1972; Henmi and Wada, 1976; Farmer *et al.*, 1979; Parfitt *et al.*, 1980), electron microscopy (Henmi and Wada, 1976), NMR (Shimizu *et al.*, 1988), and chemical (Henmi and Wada, 1976) and thermal (Henmi, 1980;

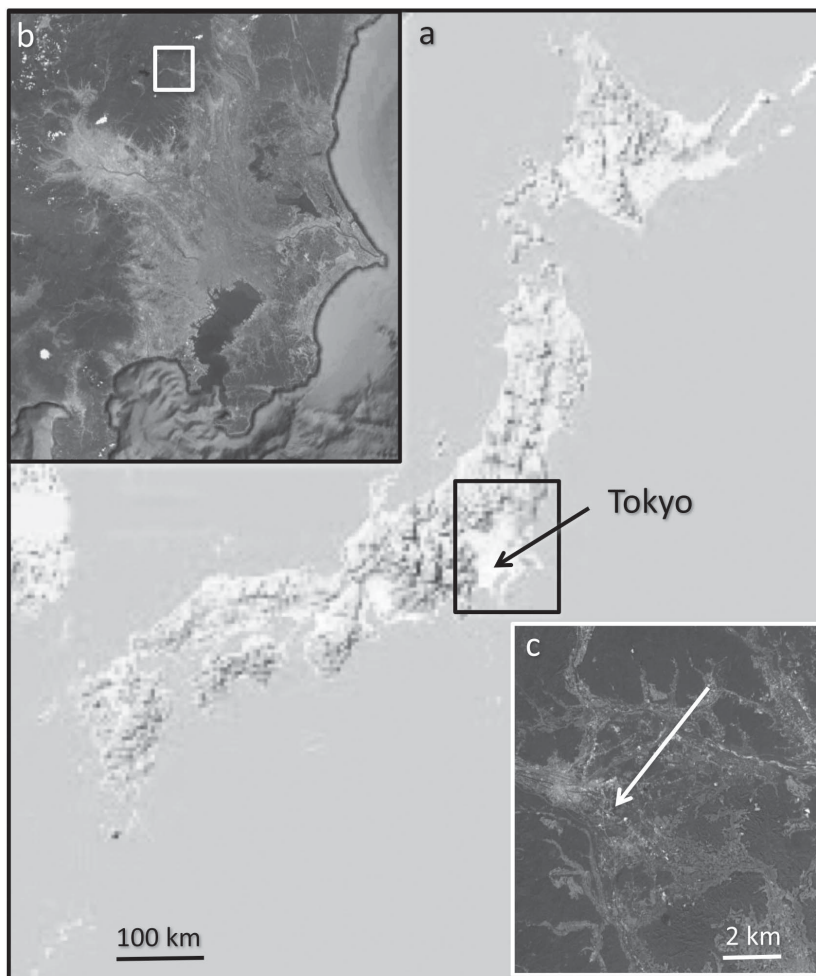


Figure 1. Map of sample location at Imaichi, Nikko, near Tokyo ( $36^{\circ}43'16.276''\text{N}$ ,  $139^{\circ}42'14.688''\text{E}$ ), where natural samples were collected: (a) map of Japan; (b) region NW of Tokyo; and (c) sample location. Image credit: 2012 CNES/SPOT, Google Earth, Feb. 10, 2012.

MacKenzie *et al.*, 1989) studies of these minerals have led to a general understanding of their character. These studies have shown that allophane is nearly X-ray amorphous and has a hollow-sphere structure with a diameter of 3.5–5 nm, whereas imogolite has a discrete XRD pattern and a tubular structure. Thus, allophane has only short-range ordering, but imogolite has long-range ordering in one dimension. Although these materials are poorly and para-crystalline, they have distinct and recognizable XRD patterns (*e.g.* van der Gaast *et al.*, 1985). Allophane has a molar Si/Al ratio between 0.5 and 1; imogolite, near 0.5. Henmi and Wada (1976) found a change in the Al configuration as volcanic glass altered: Al is largely 4-coordinated in volcanic glass, 4- and/or 6-coordinated in allophane, and mostly 6-coordinated in imogolite. Allophane and imogolite can be identified in soils by their dissolution in 0.2 M oxalate-oxalic acid at pH 3 (Wada, 1987).

Synthesis of allophane and imogolite can be achieved by heating solutions of orthosilicic acid and hydroxy-Al cations at 95–100°C (Farmer *et al.*, 1977; Wada *et al.*, 1979; Farmer *et al.*, 1983). Both mineraloids form together in about 1 week, although imogolite is favored at lower Si/Al ratios and a pH near 5, whereas allophane is favored at pH values of 6–8. However, imogolite-like products were also found up to pH 8 for Si/Al ratios  $\leq 0.25$  (Farmer *et al.*, 1979). Combined synthesis experiments and molecular orbital calculations showed that Ca and Na cations in solution greatly facilitate the formation of allophane and suppress the formation of imogolite (Abidin *et al.*, 2007a). The alkali metal cations in solution inhibit imogolite formation for Ca/Al >10 and Na/Al > 50 as shown by IR and XRD analyses.

Transmittance spectra indicate a shift in the Si–O stretching vibration from  $\sim 1000\text{ cm}^{-1}$  for silica gel to near  $940\text{ cm}^{-1}$  for allophane and imogolite (Farmer *et al.*, 1979). These spectra exhibit a shoulder near  $1000\text{ cm}^{-1}$  for imogolite that is close to the band for most forms of opal and silica gel. Farmer *et al.* (1979) further observed that the Si–O stretching vibration is generally narrower in natural samples and broader in spectra of synthetic ones. Those authors suggested that this is due to a limited range of Si–O bond environments in natural samples. Cradwick *et al.* (1972) interpreted the IR spectra to indicate a structure composed of isolated orthosilicate groups with their Si to apical O bonds perpendicular to the axis of the fiber.

The structure of imogolite is based on a gibbsite-like sheet made up of Si–O–Al and Al–O–Al bonds that is curled in one direction (Figures 2a, 3a,c); Si–O–Si bonds normally are not observed (Wada, 1987). Imogolite forms elongated fibers up to 1  $\mu\text{m}$  long with diameters of <2 nm (Wada, 1989). In contrast, allophane is composed of similar sheets that curl on three edges (Figures 2b, 3b,d), have diameters of  $\sim 3.5\text{--}5\text{ nm}$ , and tend to form globular aggregates (Wada and Wada, 1977). The structures of imogolite and allophane were

examined in more detail recently using molecular orbital calculations (Abidin *et al.*, 2007a; Creton *et al.*, 2008a, 2008b; Konduri *et al.*, 2006; Tamura and Kawamura, 2002) and density functional theory modeling (Alvarez-Ramirez, 2007; Demichelis *et al.*, 2010; Guimarães *et al.*, 2007). Al–O and Si–O bond distances, Al...Al and Si...Si distances, several bond angles, and calculated XRD and IR parameters for model structures were determined for these structures and compared with experimental values for gibbsite (Saalfeld and Wedde, 1974) and imogolite (Cradwick *et al.*, 1972). This work confirmed that imogolite and protoimogolite allophane consist of an interior layer of tetrahedral Si bonded to an outer layer of octahedral Al with OH groups pointing outside and inside the tube (Figure 2a,b). The allophane structure is more complex with clusters of tetrahedral Si and octahedral Al, as well as OH groups pointing outside and inside the sphere (Figure 2b). Imogolite and allophane were found to have similar ideal structures on a unit-cell level based on a gibbsite sheet with orthosilicate clusters ( $\text{SiO}_4$ ) inserted at the vacant octahedral sites such that the O...O distance is shortened in one gibbsite layer and lengthened in the neighboring layer. This causes curling of the structure to produce a tubular structure for imogolite and a nanoball structure for allophane (Abidin *et al.*, 2007a). Reactions performed on allophane surfaces suggest that variations in the Si/Al ratio affect the surface chemistry of the hollow spheres, the development of silanol groups, and the degree of enlargement of pores in the sphere walls (Abidin *et al.*, 2007b).

Relative humidity-controlled XRD studies have been applied to a variety of mineral systems to understand the nature of the interaction of mineral/solid surfaces with  $\text{H}_2\text{O}$  molecules (*e.g.* Bish *et al.*, 1999; Chipera *et al.*, 1997). These measurements, generally performed from 0 to  $\sim 95\%$  RH, are particularly sensitive to small changes in surface properties, as exemplified in comparisons of untreated and steam-treated smectites (Bish *et al.*, 1999).

Imogolite and allophane are important soil constituents. They control the physical and chemical character of soils due to their large surface area, unique structures, and high reactivity which enable adsorption of organic molecules and anions such as sulfate and phosphate (*e.g.* Abidin *et al.*, 2007a; Parfitt, 2009). Imogolite and allophane frequently occur together as clay components of soils derived from volcanic ash and pumice, largely by alteration of volcanic glass. Favorable environments for the formation of these minerals generally include a moderate water/rock ratio, mildly acidic conditions, and good drainage, where Si and bases are leached from parent material. Imogolite and allophane often form in the subsurface, whereas opaline silica forms at the surface (*e.g.* Wada, 1987). Imogolite was found in the youngest soils, allophane in intermediate soils, and halloysite in the oldest soils in several alteration studies of volcanic tephra (*e.g.* Wada, 1987). Allophane and

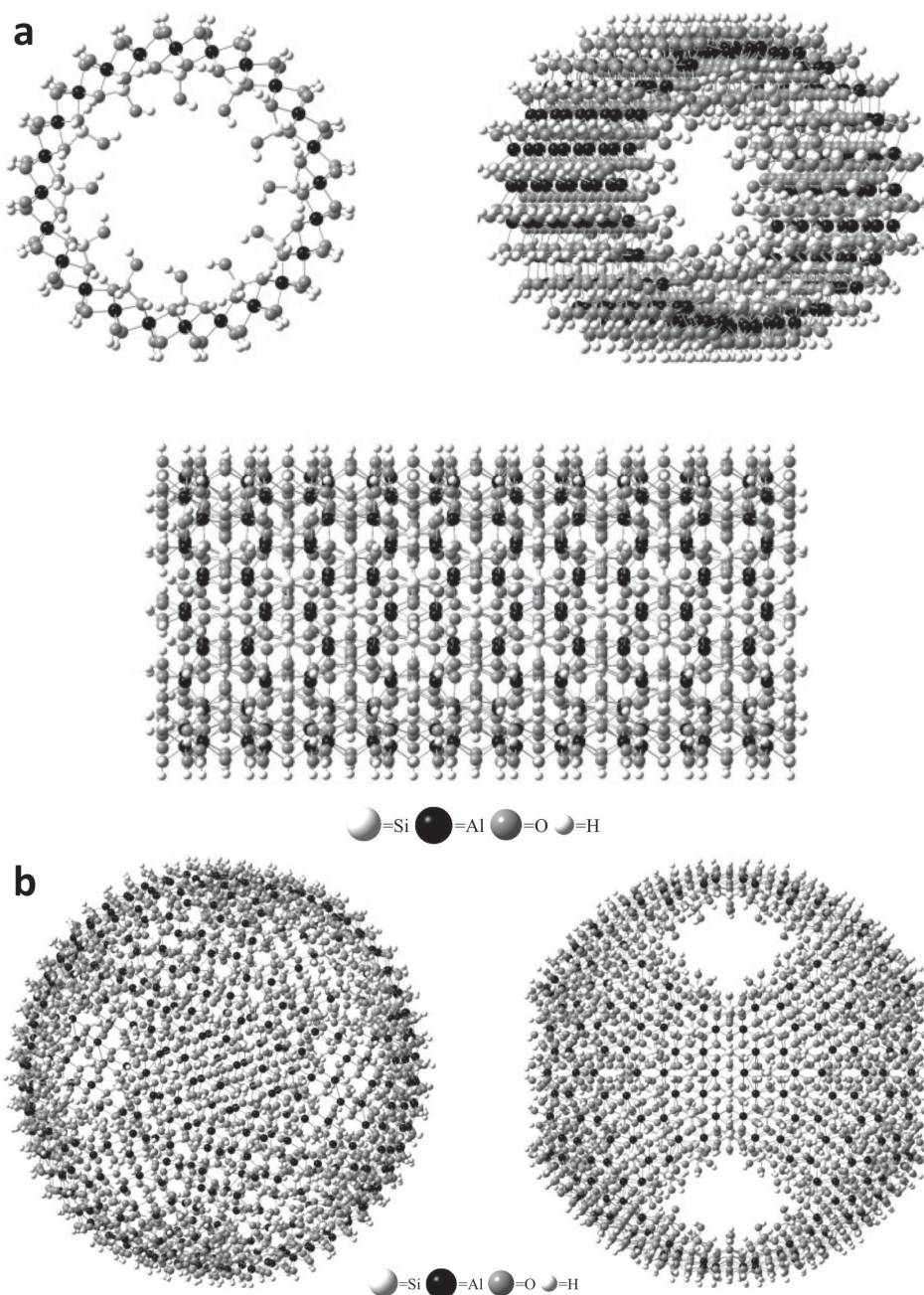


Figure 2. Mineral structures (after Abidin *et al.*, 2007a): (a) nano-tube structure of imogolite; (b) nano-ball structure of allophane.

halloysite were found to be more abundant in Hawaiian soils formed from volcanic tephra under less humid conditions, while ferrihydrite and gibbsite were more prevalent in soils formed under tropical conditions (Parfitt *et al.*, 1988). A study of Ecuadorian Andosols found allophane-rich facies in the upper few meters, halloysite-rich facies at 11–15 m depth, and gibbsite at the transition zone (Kaufhold *et al.*, 2009, 2010). A study of Andosols from Guadeloupe found that gibbsite and kaolinite were present with allophane in areas that

experienced intense leaching of silica (Ndayiragije and Delvaux, 2003). Poorly crystalline minerals such as allophane and imogolite were observed as the favored alteration products in young soils derived from a variety of volcanic ash deposits (Shoji *et al.*, 1993). Therefore, allophane and/or imogolite are indicators of immature soils formed *via* alteration of volcanic material.

Allophane and imogolite also form in the B horizon of Spodosol-like soils derived from Si-rich parent rocks following leaching of the upper E soil horizon and

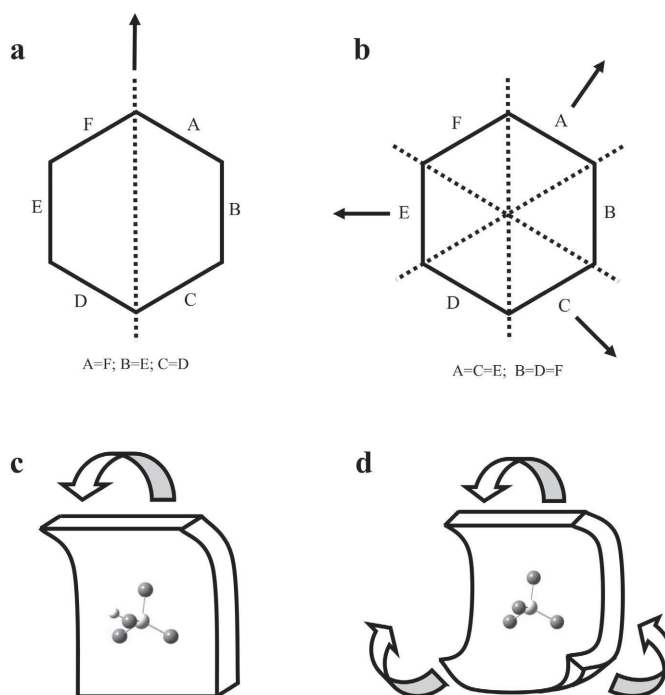


Figure 3. Models of imogolite and allophane symmetry and particle growth patterns: (a) symmetry of imogolite; (b) symmetry of allophane; (c) formation pattern of imogolite; and (d) formation pattern of allophane.

degradation of organometallic complexes in the soil (Buurman and Van Reeuwijk, 1984). Acidic conditions in Sweden were found to favor complexation of Al by organic matter, which inhibits formation of allophane and imogolite (Gustafsson *et al.*, 1998). Al and Fe leached out of the E horizon are bound by organic acids and transferred to the lower B horizon (Lundström *et al.*, 2000). Once microbial activity sufficiently degrades the organometallic complexes, Al becomes free to form gibbsite, imogolite, and allophane (Buurman and Van Reeuwijk, 1984; Gustafsson *et al.*, 1998). Another study of Canadian soils found greater abundances of opaline silica in the surface A horizon and elevated allophane and ferrihydrite in the subsurface B horizon (Kodama and Wang, 1989), similar to studies of volcanic soils. Thus, allophane and imogolite are not entirely unique to volcanic settings because, although uncommon, they can form in soils derived from other parent materials.

## METHODS

### Samples

The natural imogolite and allophane samples were prepared from pumice that was collected ~30 y ago at Imaichi, Nikko, near Tokyo (Figure 1). This site is located at 36°43'16.276"N, 139°42'14.688"E and the materials here formed by alteration of volcanic material. The imogolite sample was scraped off the outer portion of the pumice. The allophane sample was taken from the remaining inner part of the pumice and had a molar Si/Al

ratio of 0.82. Post-collection modification of the samples during storage in the laboratory was assumed to have been minor, no evidence of formation of phyllosilicates was seen in the XRD or IR data. Iron (oxyhydr)oxide phases were removed from the samples using the standard dithionite-citrate-bicarbonate (DCB) treatment described by Mehra and Jackson (1960).

The synthetic imogolite sample was prepared by mixing orthosilicic acid,  $\text{H}_4\text{SiO}_4$ , with aluminum nitrate hexahydrate,  $\text{Al}(\text{NO}_3)_3 \cdot 6\text{H}_2\text{O}$ , to yield an Si/Al ratio of 0.5 for a previous study (Henmi and Huang, 1987). The solution mixtures were titrated with NaOH until an OH/Al molar ratio of 2 was achieved. The Si concentration of the resulting solution was 1.6 mM and the pH was 4.1. The mixture solution was heated at 100°C for 48 h in an autoclave, then allowed to cool, at which point the pH was 3.0. The product was flocculated by adding saturated sodium chloride solution to the cooled mixture solution. The gelatinous product was centrifuged, dialyzed to remove excess sodium chloride, and freeze-dried to create a powder. Analysis using XRD, scanning electron microscopy (SEM), and differential thermal analysis (DTA) showed the product to be imogolite (Abidin *et al.*, 2008, 2009).

The synthetic allophane sample was prepared using the method described by Montarges-Pelletier *et al.* (2005), with 0.1 M  $\text{AlCl}_3$  and tetraethyl orthosilicate (TEOS) (5 mL TEOS to 225 mL 0.1 M  $\text{AlCl}_3$ ) to produce an Al:Si ratio of 1:1 (Baker and Strawn, 2012). The method was modified to produce an Fe-substituted

allophane by adding 11.25 mL of 0.1 M FeCl<sub>3</sub> to the starting materials to produce a final Fe:Al ratio of 1:20. The gel produced by this synthesis was dialyzed against flowing deionized water and then freeze-dried for analysis.

#### Reflectance measurements

Reflectance spectra were measured for particulate samples in a horizontal sample dish using a bi-directional VNIR spectrometer and a Nicolet FTIR spectrometer at Brown University's RELAB as in previous studies (*e.g.* Bishop *et al.*, 2008). Spectra were measured relative to Halon from 0.3 to 2.5  $\mu\text{m}$  under ambient conditions with 5 nm spectral sampling. Infrared reflectance spectra were measured relative to a rough gold surface in a biconical configuration with 2  $\text{cm}^{-1}$  spectral sampling from 1–50  $\mu\text{m}$  in an environment purged of H<sub>2</sub>O and CO<sub>2</sub> for 10–12 h. Composite, absolute reflectance spectra were prepared by scaling the FTIR data to the bidirectional data near 1.2  $\mu\text{m}$ .

#### Emissivity measurements

TIR emissivity spectra of particulate and pellet samples were collected at the Mars Space Flight Facility at Arizona State University using a Nicolet Nexus 670 spectrometer configured to measure emitted energy (Christensen and Harrison, 1993; Ruff *et al.*, 1997). Samples were placed in copper sample cups painted black so that they behaved as a spectral blackbody, and were heated to 80°C before and during the experiments to increase the signal-to-noise ratio. Spectra were scanned 240 times over the course of ~4 min, from 200 to 2000  $\text{cm}^{-1}$  with 2  $\text{cm}^{-1}$  spectral resolution. Blackbodies at 70 and 100°C were measured to calibrate raw data to radiance (Christensen and Harrison, 1993). Radiance spectra were transformed to emissivity spectra by normalizing to the Planck curve corresponding to the sample temperature (Ruff *et al.*, 1997). Pellets were created by compressing ~0.2 g of particulate material to ~70 MPa (uncorrected for friction) in a hydraulic press for 3 min (Michalski *et al.*, 2005). Pellets were 1 cm in diameter and a few mm thick.

#### XRD-RH experiments

Powders for controlled-humidity powder XRD measurements were mounted as thin slurries on 'zero-background' quartz plates, which were mounted in an environmental chamber on a Bruker D8 powder diffractometer using CuK $\alpha$  radiation and an energy-dispersive Sol-X detector (Bruker, Karlsruhe, Germany). The use of thin slurry sample mounts allowed the powders to equilibrate quickly with the controlled-RH atmosphere. Relative humidity was controlled by an InstruQuest Inc. (Florida, USA) VGen RH generator. Diffraction measurements were made at 0 to 95% RH and back to 0% RH, in 10% RH steps to 90%, 5% steps

from 90–95–90%, and 10% RH steps back to 0% RH. An initial equilibration time of 2 h was used at 0% RH, and a 1 h equilibration time was used before all other XRD measurements at each RH level. The XRD data were measured for allophane from 2 to 36°2 $\theta$  and for imogolite from 2 to 18°2 $\theta$ , counting for 2 s/0.02° step.

## RESULTS

#### VNIR reflectance spectra

The VNIR reflectance spectra of allophane included bands near 1.4, 1.9, and 2.2  $\mu\text{m}$ , plus a strong band that was nearly saturated from 2.7–3.5  $\mu\text{m}$  (Figure 4a). For the spectra measured under ambient conditions where substantial adsorbed H<sub>2</sub>O was present, the bands near 1.4 and 1.9  $\mu\text{m}$  were much stronger and shifted toward longer wavelengths, as expected (*e.g.* Bishop *et al.*, 1994). The VNIR spectral properties of synthetic allophane and purified natural allophane were similar. The allophane-rich soil spectrum (Figure 4a) contained a weak broad band near 0.9–1  $\mu\text{m}$  and an increasing slope from 0.4 to 0.6  $\mu\text{m}$  compared to the pure allophane spectra. These additional features in the spectra of the untreated samples are consistent with the presence of iron and are typical of iron (oxyhydr)oxides (*e.g.* Morris *et al.*, 1985; Bishop and Murad, 1996). These features are also consistent with Fe(III)-bearing phyllosilicates (*e.g.* Bishop *et al.*, 1999, 2008), but no Fe<sub>3</sub>OH bands were observed near 2.3  $\mu\text{m}$  as are present in Fe(III)-bearing phyllosilicates and no evidence of phyllosilicates in the XRD patterns was noted. The synthetic Fe-bearing allophane contained similar features near 0.4–0.6 and 0.9–1  $\mu\text{m}$  which are attributed to the Fe(III) shown to be present in isomorphic substitution for Al in the allophane structure through EXAFS experiments (Baker and Strawn, 2012). Note that in addition to the weak, broad Fe(III) band near 0.9–1.0  $\mu\text{m}$ , an H<sub>2</sub>O overtone centered at 0.97  $\mu\text{m}$  was observed, which increased in intensity toward 1.0  $\mu\text{m}$  in the spectra measured under hydrated conditions (dotted lines in Figure 4). This H<sub>2</sub>O band was stronger in spectra of the synthetic samples than in spectra of the natural samples. The bands near 1.4, 1.9, and 2.2  $\mu\text{m}$  were due to overtones and combinations of H<sub>2</sub>O and OH in the structure and are described in more detail in a later section.

The VNIR reflectance spectra of imogolite also included bands near 1.4, 1.9, 2.2, and 2.7–3.5  $\mu\text{m}$  (Figure 4b), and the 1.4 and 1.9  $\mu\text{m}$  bands were again greatly intensified for spectra measured under ambient conditions where adsorbed H<sub>2</sub>O contributes to the spectral features.

#### Mid-IR reflectance and emissivity spectra of fundamental vibrations

Reflectance (*R*) and emittance (*E*) both depend on the real (*n*) and imaginary (*k*) components of the index of

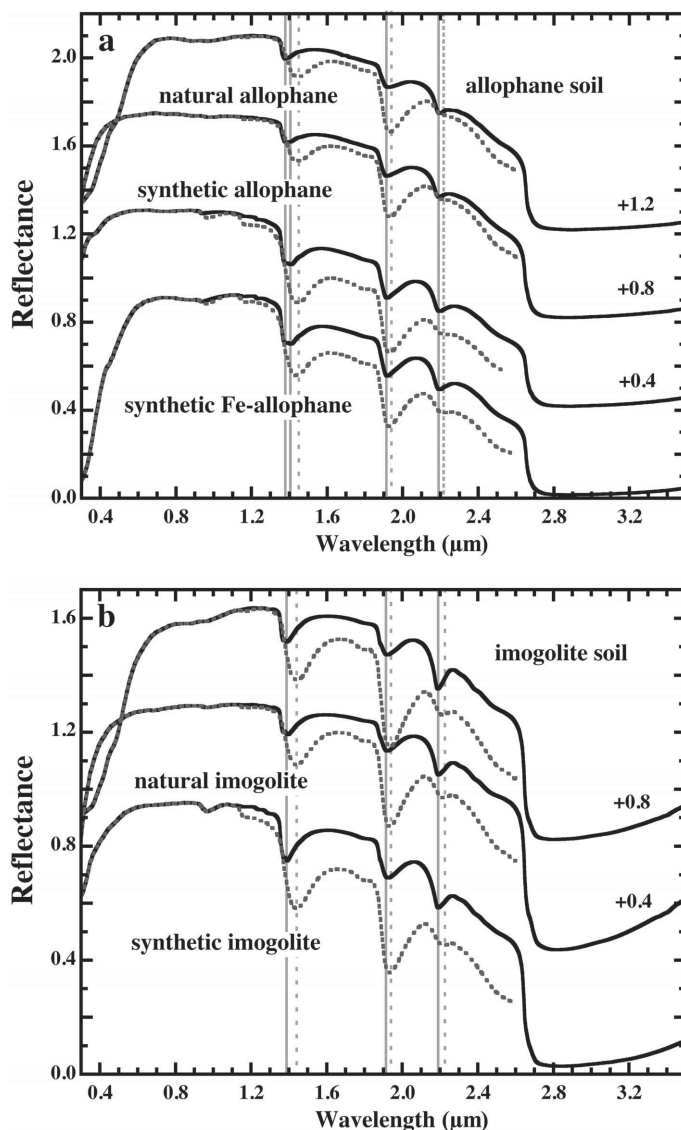


Figure 4. VNIR reflectance spectra of (a) allophane and (b) imogolite. Spectra are offset for clarity. Dashed lines refer to spectra measured under ambient RH conditions (0.3–2.5  $\mu\text{m}$ ). Solid lines refer to spectra measured under low-RH conditions (1–3.3  $\mu\text{m}$ ).

refraction and are, thus, influenced by surface scattering and particle size. They are related by Kirchhoff's Law, where  $R = 1 - E$ , which generally holds for Lambertian surfaces and larger grain sizes, although discrepancies are often noted for small particle sizes (Salisbury, 1993). Reflectance and emittance are both distinct from transmittance spectra, which only depend on  $k$ .  $\text{H}_2\text{O}$  bending vibrations were observed near  $1650\text{ cm}^{-1}$  ( $\sim 6.1\text{ }\mu\text{m}$ ) for allophane (Figure 5a,b) and imogolite (Figure 5c,d). A strong single upward band (in inverse reflectance – note that this would be a downward band in reflectance) was observed for the synthetic allophane (Figure 5b) and synthetic imogolite (Figure 5d) spectra, whereas a weaker doublet feature was observed in the reflectance spectra of the natural samples (Figure 5a,c).

These features indicated that additional hydrated phases were present in the natural samples and were not removed by the purification process. The spectral features at longer wavelengths were largely similar for the inverse reflectance spectra of loose powders and the emissivity spectra of pressed powders; however, the spectral bands were stronger for the spectra of the pressed powders, as expected (e.g. Michalski *et al.*, 2006).

#### *Si–O stretching region*

The Si–O–Al stretching vibrations occurred near  $1010\text{ cm}^{-1}$  ( $9.9\text{ }\mu\text{m}$ ) and  $930\text{ cm}^{-1}$  ( $10.8\text{ }\mu\text{m}$ ) in reflectance spectra of imogolite and near  $1030\text{ cm}^{-1}$  ( $9.7\text{ }\mu\text{m}$ ) and  $940\text{ cm}^{-1}$  ( $10.6\text{ }\mu\text{m}$ ) in reflectance spectra

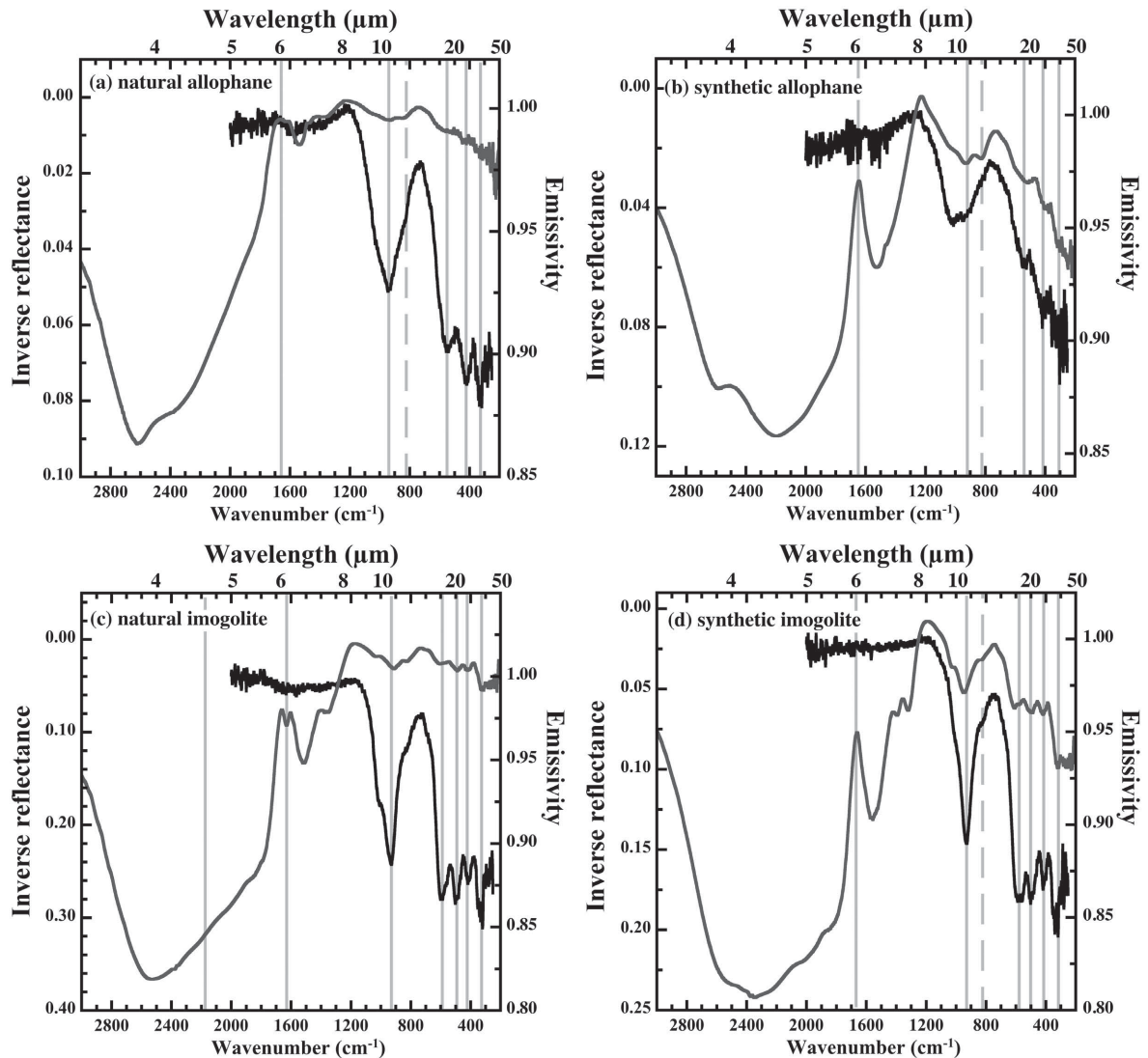


Figure 5. Mid-infrared reflectance and emissivity spectra from 200 to 3000  $\text{cm}^{-1}$  ( $\sim 3\text{--}50\ \mu\text{m}$ ) of (a) natural allophane, (b) synthetic allophane, (c) natural imogolite, and (d) synthetic imogolite.

of allophane (Figure 6). These are similar to the emissivity bands for Si–O stretching in high-silica aluminosilicate gel observed near 1070  $\text{cm}^{-1}$  (9.3  $\mu\text{m}$ ) (e.g. Rampe *et al.*, 2012), near 1270  $\text{cm}^{-1}$  (7.9  $\mu\text{m}$ ) and 1120  $\text{cm}^{-1}$  (8.9  $\mu\text{m}$ ) for opal, and near 1130  $\text{cm}^{-1}$  (8.8  $\mu\text{m}$ ) and 1045  $\text{cm}^{-1}$  (9.6  $\mu\text{m}$ ) for Si–O–Al stretching in phyllosilicates (Michalski *et al.*, 2005; Bishop *et al.*, 2008). Rampe *et al.* (2012) observed a single band centered near 940  $\text{cm}^{-1}$  in emissivity spectra of allophanes with low Si/Al ratios and a broad doublet feature near 1030  $\text{cm}^{-1}$  and 940  $\text{cm}^{-1}$  for allophanes with an Si/Al ratio of 0.92, similar to that observed for the natural allophane in the present study (Figure 6) which has an Si/Al ratio of 0.82. This doublet was shifted toward the 1030  $\text{cm}^{-1}$  band in the synthetic

sample (Figure 6), indicating that more Si–O–Si bonds were present. The Si–O–Al stretching band occurred near 985–995  $\text{cm}^{-1}$  in transmittance spectra of allophane with high Si/Al ratios and near 962–970  $\text{cm}^{-1}$  in transmittance spectra of allophane with low Si/Al ratios (Parfitt and Henmi, 1980; Henmi *et al.*, 1981). This band occurred as a doublet near 950–1000  $\text{cm}^{-1}$  in transmittance spectra of imogolite and near 1000–1050  $\text{cm}^{-1}$  in transmittance spectra of silica gel (Farmer *et al.*, 1979).

#### OH-bending region

OH-bending vibrations are generally observed from 590  $\text{cm}^{-1}$  (16.9  $\mu\text{m}$ ) to 940  $\text{cm}^{-1}$  (10.6  $\mu\text{m}$ ) in phyllosilicates (e.g. Farmer, 1974). A shoulder feature near 825  $\text{cm}^{-1}$  (12.1  $\mu\text{m}$ ) was observed in reflectance

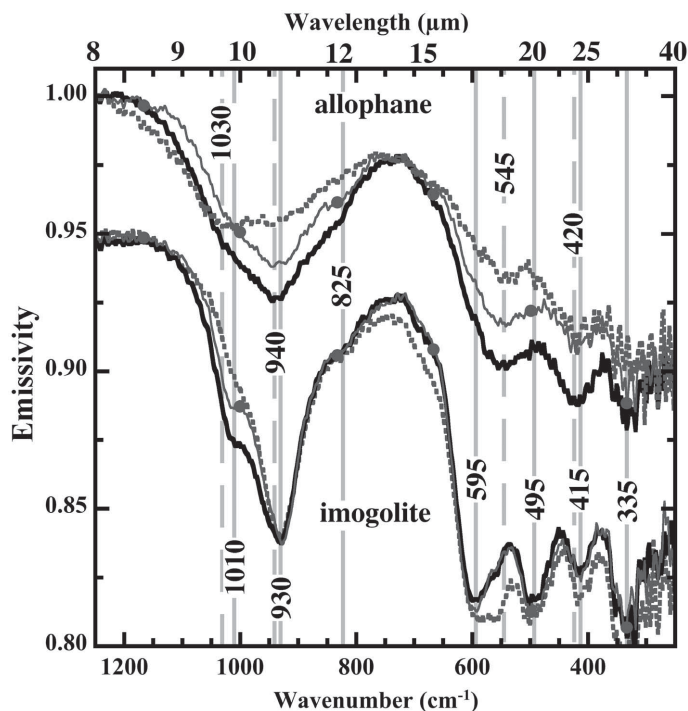


Figure 6. Emittance spectra from 1250–250  $\text{cm}^{-1}$  (8–40  $\mu\text{m}$ ) showing the Si–O–Al stretching and bending vibrations. Spectra of the natural allophane and imogolite samples are shown in solid lines, spectra of the natural allophane and imogolite soils are shown with symbols, and spectra of the synthetic allophane and imogolite are shown in dashed lines. The imogolite spectra are offset by 0.05 on the vertical scale for clarity.

spectra of both allophane and imogolite and is attributed to in-plane Al–OH bending vibrations, based on this feature in phyllosilicates (e.g. Bishop *et al.*, 2002b). An additional band was observed at 595  $\text{cm}^{-1}$  (16.8  $\mu\text{m}$ ) in imogolite spectra and a shoulder was present near 620  $\text{cm}^{-1}$  (16.1  $\mu\text{m}$ ) in allophane spectra. These are more consistent with out-of-plane bending vibrations (e.g. Farmer, 1974) and are generally only observed in phyllosilicates when the structure is disrupted (e.g. Bishop *et al.*, 2002b). The features near 595 and 620  $\text{cm}^{-1}$  could also be due to Al–O vibrations (Farmer, 1974). Bending vibrations for Si–OH in silica occur near 795  $\text{cm}^{-1}$  (12.6  $\mu\text{m}$ ) and were observed only as a weak shoulder if at all in the allophane and imogolite spectra. This is consistent with the structural models (Figure 2) for allophane and imogolite that indicate a much greater abundance of OH bound to Al than to Si. Transmittance spectra of allophane and imogolite included a band near 690  $\text{cm}^{-1}$  (Parfitt *et al.*, 1980; Parfitt and Henmi, 1980) that is probably related to the 595–620  $\text{cm}^{-1}$  reflectance feature.

#### Si–O bending region

The bands near 545  $\text{cm}^{-1}$  (18.3  $\mu\text{m}$ ) in the allophane spectra and near 495  $\text{cm}^{-1}$  (20.2  $\mu\text{m}$ ) in the imogolite spectra (Figure 6) are attributed to Si–O–Al deformation, and the bands near 420  $\text{cm}^{-1}$  (23.8  $\mu\text{m}$ ) in the allophane spectra and near 415  $\text{cm}^{-1}$  (24.1  $\mu\text{m}$ ) in the

imogolite spectra (Figure 6) are attributed to Si–O bending based on studies of phyllosilicate spectra (e.g. Farmer, 1974; Bishop *et al.*, 2002a; Michalski *et al.*, 2005). Related spectral bands are observed for Si–O bending vibrations for silica gel (near 440  $\text{cm}^{-1}$ , 22.7  $\mu\text{m}$ , Rampe *et al.*, 2012) and for opal (481  $\text{cm}^{-1}$ , 20.8  $\mu\text{m}$ , Bishop *et al.*, 2011). Shifts were not observed in the 545 and 420  $\text{cm}^{-1}$  allophane bands with changing Si/Al ratio (Rampe *et al.*, 2012). Differences in these features for allophane and imogolite are attributed to the curvature of the structures as Si–O bending vibrations are probably sensitive to the position of neighboring atoms. An additional band was observed near 325–335  $\text{cm}^{-1}$  ( $\sim 30$   $\mu\text{m}$ ) in emissivity and reflectance spectra of all allophane and imogolite samples. This also falls in the spectral region due to Si–O bending (Farmer, 1974) and was observed at 348  $\text{cm}^{-1}$  in transmittance spectra of imogolite and allophane (Farmer *et al.*, 1977).

#### H<sub>2</sub>O bending region

Single bands were observed in the H<sub>2</sub>O bending region at 1645  $\text{cm}^{-1}$  (6.08  $\mu\text{m}$ ) for reflectance spectra of synthetic allophane (Figure 7a) and 1662  $\text{cm}^{-1}$  (6.02  $\mu\text{m}$ ) for synthetic imogolite (Figure 7b). Reflectance spectra of the natural samples exhibited doublets in this region: 1667 and 1606  $\text{cm}^{-1}$  for allophane and 1662 and 1604  $\text{cm}^{-1}$  for imogolite (Figure 7). A related band with variable width was

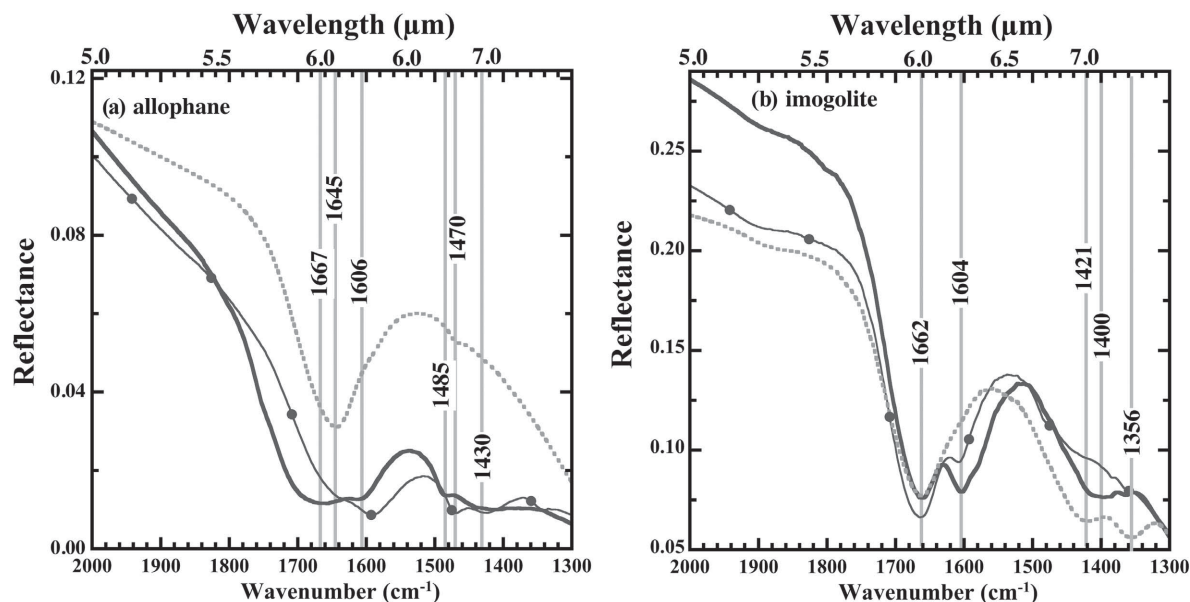


Figure 7. Reflectance spectra from 1300 to 2000  $\text{cm}^{-1}$  (5–7.5  $\mu\text{m}$ ) of the  $\text{H}_2\text{O}$  bending region for (a) allophane and (b) imogolite. Spectra of the natural allophane and imogolite samples are shown in solid lines, spectra of the natural allophane and imogolite soils are shown with symbols, and spectra of the synthetic allophane and imogolite are shown in dashed lines. The natural allophane spectra were increased by a factor of two on the vertical scale for comparison with the synthetic spectrum.

observed in transmittance spectra of allophane and imogolite (Parfitt and Henmi, 1980). An additional feature was observed near 1400  $\text{cm}^{-1}$  in reflectance spectra (Figure 7) and transmittance spectra (Parfitt and Henmi, 1980) of allophane and imogolite. A doublet was observed for the natural allophane (1485, 1430  $\text{cm}^{-1}$ ) and a single weak band was observed for the synthetic allophane (1470  $\text{cm}^{-1}$ ), whereas a doublet was observed for the synthetic imogolite (1421, 1356  $\text{cm}^{-1}$ ) and a single band was observed for the natural imogolite (1400  $\text{cm}^{-1}$ ). This band is attributed to OH bending vibrations of acid salts such as  $\text{O}_3\text{SiOH}$  groups that can be split into a doublet by resonance interactions (Ryskin, 1974). Alternatively, nitrates could be causing this feature near 1400  $\text{cm}^{-1}$  (*e.g.* Pavia *et al.*, 1979). However, as a related feature has been observed in reflectance and transmittance spectra of some ferrihydrite samples, particularly natural samples containing Si (Bishop and Murad, 2002), the  $\text{O}_3\text{SiOH}$  interpretation may be more likely for these samples. Organic residues from the soil and/or Fe removal treatment could also be contributing to spectral features in this region. The band at 1356  $\text{cm}^{-1}$  was probably due to organic admixtures. A sharper band was observed near 1380  $\text{cm}^{-1}$  in transmittance spectra of the natural samples (not shown).

#### $\text{H}_2\text{O}$ stretching region

A broad reflectance band was observed for both allophane and imogolite in the  $\text{H}_2\text{O}$  stretching region (Figure 8). This feature extended from  $\sim 3000$ – $3700$   $\text{cm}^{-1}$  and is a composite of symmetric

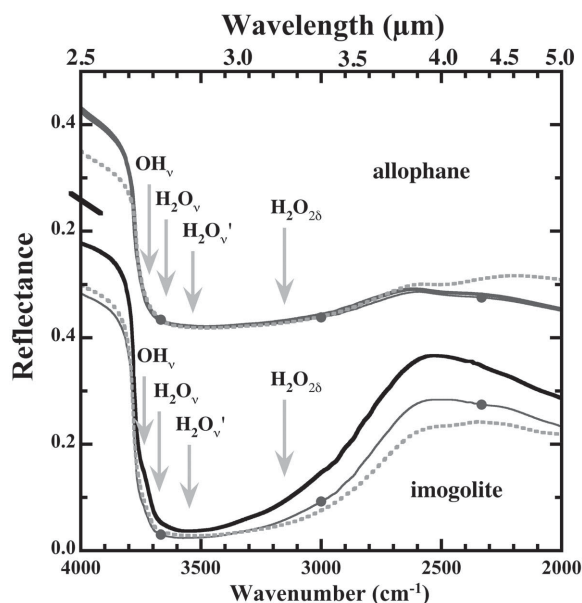


Figure 8. Reflectance spectra from 2000 to 4000  $\text{cm}^{-1}$  (2.5–5  $\mu\text{m}$ ) of the OH and  $\text{H}_2\text{O}$  stretching region for allophane and imogolite. Spectra of the natural allophane and imogolite samples are shown in solid lines, the natural allophane and imogolite soils are shown with symbols, and the synthetic allophane and imogolite are shown in dashed lines. The positions of the features contributing to the broad band are marked as  $\text{OH}_v$  for OH stretching,  $\text{H}_2\text{O}_v$  for  $\text{H}_2\text{O}$  stretching,  $\text{H}_2\text{O}_v'$  for  $\text{H}_2\text{O}$  stretching at hydrated sites, and  $\text{H}_2\text{O}_{26}$  for bending overtones as described by Bishop *et al.* (1994).

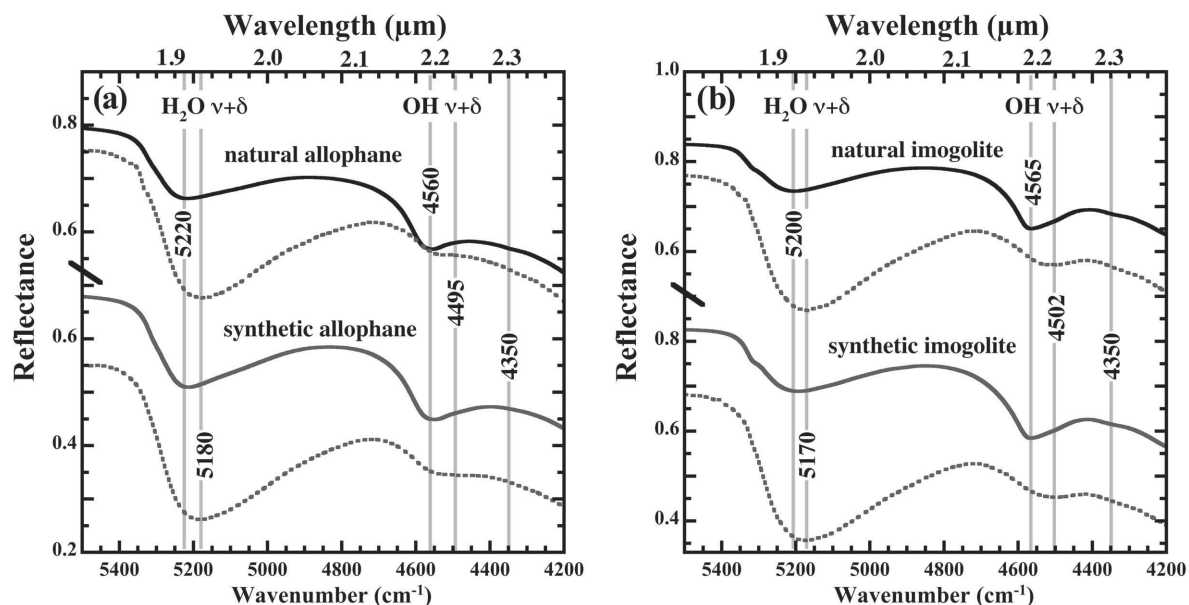


Figure 9. Reflectance spectra from 4200 to 5500  $\text{cm}^{-1}$  ( $\sim 1.8$ – $2.4$   $\mu\text{m}$ ) of the OH and  $\text{H}_2\text{O}$  combination band region for (a) allophane and (b) imogolite. Dashed lines refer to spectra measured under ambient RH conditions, whereas solid lines refer to spectra measured under low-RH conditions.  $\nu+\delta$  indicate the combination bending plus stretching vibration.

and asymmetric  $\text{H}_2\text{O}$  stretching vibrations, OH-stretching vibrations, and an overtone of the  $\text{H}_2\text{O}$  bending vibration as summarized for phyllosilicates (e.g. Bishop *et al.*, 1994). A broad, composite band was also observed in this region in transmittance spectra of allophane and imogolite (Parfitt and Henmi, 1980).

#### NIR reflectance spectra of combinations and overtones

**OH combination band.** An OH combination stretching plus bending band was observed at  $4560\text{ cm}^{-1}$  ( $2.193\text{ }\mu\text{m}$ ) for allophane (Figure 9a) and at  $4565\text{ cm}^{-1}$  ( $2.191\text{ }\mu\text{m}$ ) for imogolite (Figure 9b) measured under controlled, low-humidity conditions in reflectance spectra. These OH combination bands ( $\text{OH}_{\nu+\delta}$ ) were broadened and shifted toward longer wavelengths, particularly in the case of imogolite for spectra measured under ambient (elevated RH) conditions (Figure 9). In reflectance spectra of phyllosilicates, these OH combination bands were not affected by humidity level and adsorbed  $\text{H}_2\text{O}$  molecules (e.g. Bishop *et al.*, 1994); however, this OH band in opal-A, opal-CT, and hydrated silica is dependent on adsorbed  $\text{H}_2\text{O}$  and H-bonding of the OH species (Anderson and Wickersheim, 1964). Thus, the OH bonds in allophane and imogolite are more similar in character to hydrated amorphous materials than to phyllosilicates.

**$\text{H}_2\text{O}$  combination band.** The  $\text{H}_2\text{O}$  combination stretching plus bending band was observed at  $5220\text{ cm}^{-1}$  ( $1.916\text{ }\mu\text{m}$ ) for allophane (Figure 9a) and at  $5200\text{ cm}^{-1}$  ( $1.923\text{ }\mu\text{m}$ ) for imogolite (Figure 9b). Both of these

bands were broad and asymmetric, similar to the  $\text{H}_2\text{O}$  stretching band shape. The magnitude of the  $\text{H}_2\text{O}$  combination band ( $\text{H}_2\text{O}_{\nu+\delta}$ ) was greatly increased for spectra measured under ambient (elevated humidity) conditions, as expected. The band center was also shifted toward lower wavenumbers (longer wavelengths) due to the additional H-bonding as in the case of adsorbed  $\text{H}_2\text{O}$  in phyllosilicate spectra (Bishop *et al.*, 1994).

**OH and  $\text{H}_2\text{O}$  overtones.** Overtone bands of the fundamental stretching vibration were present for both OH and  $\text{H}_2\text{O}$  in allophane and imogolite spectra (Figure 10). A doublet occurred here due to the proximity of the OH overtone ( $\text{OH}_{2\nu}$ ) and  $\text{H}_2\text{O}$  overtone ( $\text{H}_2\text{O}_{2\nu}$ ). The  $\text{OH}_{2\nu}$  occurred near  $7263\text{ cm}^{-1}$  ( $1.377\text{ }\mu\text{m}$ ) for allophane and near  $7285$ – $7305\text{ cm}^{-1}$  ( $1.369$ – $1.373\text{ }\mu\text{m}$ ) for imogolite, and the  $\text{H}_2\text{O}_{2\nu}$  occurred near  $7104$ – $7139\text{ cm}^{-1}$  ( $1.401$ – $1.407\text{ }\mu\text{m}$ ) for allophane and near  $7170$ – $7205\text{ cm}^{-1}$  ( $1.388$ – $1.395\text{ }\mu\text{m}$ ) for imogolite. The exact positions of these overtone band centers varied among the natural and synthetic samples in the present study and probably depended on the Si/Al ratio and curvature of the structure. The  $\text{OH}_{2\nu}$  band in phyllosilicates depends on the cation to which it relates. It shifts from near  $\sim 7000\text{ cm}^{-1}$  ( $1.42$ – $1.43\text{ }\mu\text{m}$ ) for Fe-smectite to  $\sim 7100\text{ cm}^{-1}$  ( $1.40$ – $1.41\text{ }\mu\text{m}$ ) for Al-smectite and to  $\sim 7200\text{ cm}^{-1}$  ( $1.38$ – $1.39\text{ }\mu\text{m}$ ) for Mg-smectite and also hydrated silica (e.g. Anderson and Wickersheim, 1964; Bishop *et al.*, 2002b, 2008). A broad  $\text{H}_2\text{O}$  stretching overtone band was also observed for adsorbed or outer-sphere  $\text{H}_2\text{O}$  in the allophane spectra near  $6900\text{ cm}^{-1}$  ( $1.45\text{ }\mu\text{m}$ ) (Figure 10a) and in the imogolite spectra near

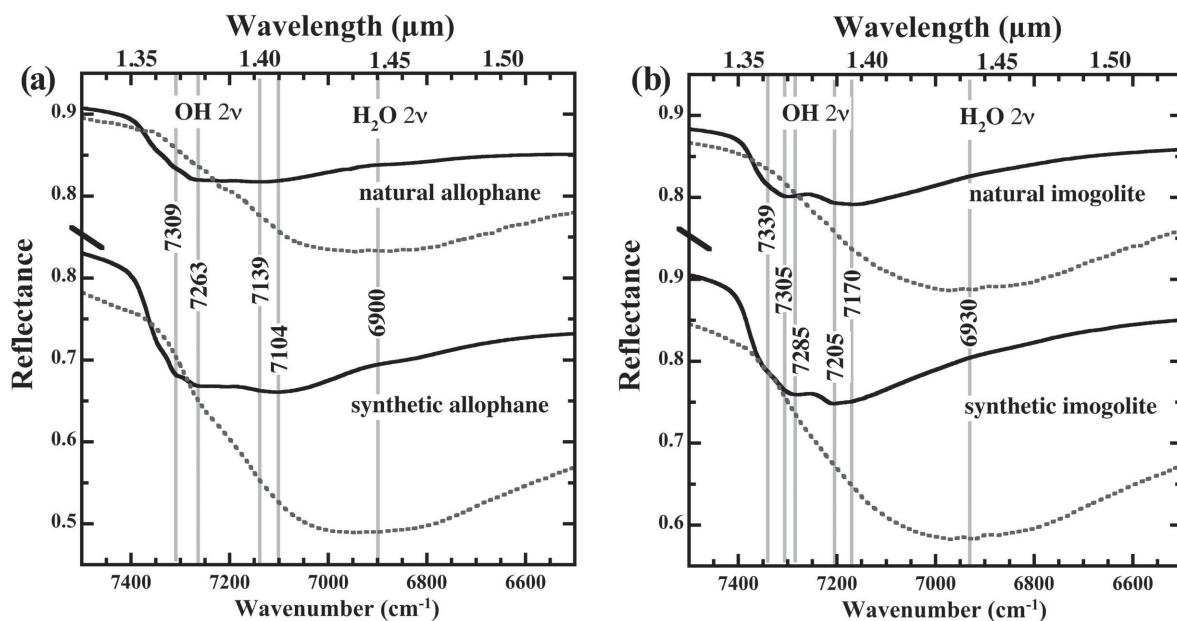


Figure 10. Reflectance spectra from 6500 to 7500  $\text{cm}^{-1}$  ( $\sim 1.3\text{--}1.55\ \mu\text{m}$ ) of the OH and  $\text{H}_2\text{O}$  stretching overtone (2v) region for (a) allophane and (b) imogolite. Dashed lines refer to spectra measured under ambient RH conditions, whereas solid lines refer to spectra measured under low-RH conditions.

$6930\ \text{cm}^{-1}$  ( $1.44\ \mu\text{m}$ ) (Figure 10b). This band occurred for the samples measured under ambient (elevated humidity) conditions but was not observed for the samples measured under hydrated conditions.

#### XRD-RH experiments

The XRD data for imogolite (Figure 11) showed the expected strong, broad peak at  $2\text{--}3^\circ 2\theta$ , the broad maximum at  $\sim 5\text{--}7^\circ 2\theta$ , and the weaker peak near  $12^\circ 2\theta$  consistent with the partially ordered structure (*e.g.* van der Gaast *et al.*, 1985). However, these data measured as a function of relative humidity (RH) revealed unexpected changes as a function of RH, with large decreases in low-angle scattering intensity with increasing RH. The low-angle scattering behavior of imogolite at 0 and

100% RH and at elevated temperatures was measured by van der Gaast *et al.* (1985), and their data (Figure 6 in van der Gaast *et al.*, 1985) showed a significant decrease in the intensity of low-angle scattering with increasing temperature, but they do not show the significant decrease in intensity of low-angle scattering observed under elevated RH conditions. In a study comparing XRD patterns of natural and synthetic imogolite, Farmer and Fraser (1979) noted shifts to larger  $d$  spacings or smaller  $2\theta$  values and attributed the sharp, low-angle peak to a hexagonal, close-packing array of the imogolite tubes. Although the positions of the broad maxima were unchanged in the present study, the intensity of the broad maximum from  $\sim 4$  to  $9^\circ 2\theta$  decreased systematically from 0 to 95% RH and then

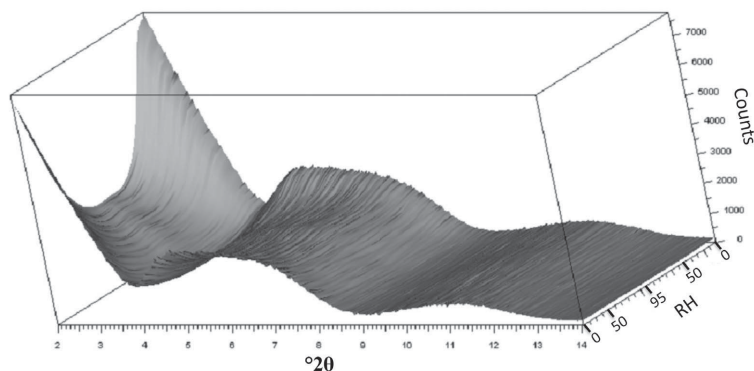


Figure 11. 3D representation of XRD data for the natural imogolite sample as the RH was increased from 0% to 95% and back to 0% ( $z$  axis). The low-angle ( $<9^\circ 2\theta$ ) intensity decreased at elevated RH levels. The XRD pattern is characteristic of imogolite.

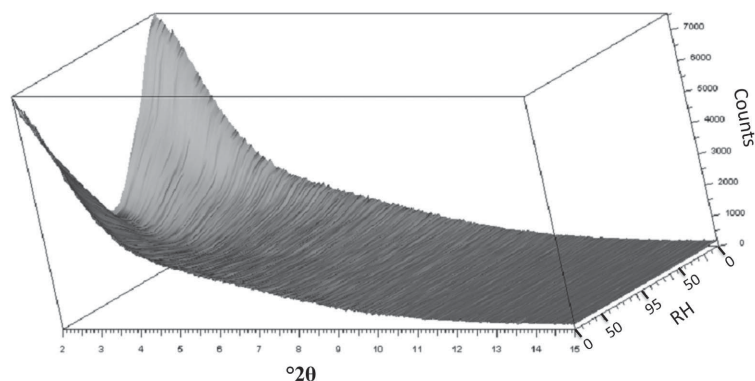


Figure 12. 3D representation of XRD data for the natural allophane sample as the RH was increased from 0% to 95% and back to 0% (z axis). The low-angle ( $<9^{\circ}2\theta$ ) intensity decreased at elevated RH levels. This allophane exhibits no diffraction maxima, as expected.

increased again with a reduction in RH toward 0%. The low-angle scattered intensity changed in a similar manner. The broad maximum from  $\sim 9$  to  $14^{\circ}2\theta$  showed no significant change in intensity with RH. Such intensity changes are not common with well ordered, macrocrystalline materials, but they are similar to those observed with other poorly ordered materials such as opal-A and are probably related to adsorption of  $H_2O$  molecules onto the imogolite surface, as suggested by van der Gaast *et al.* (1985) for allophane.

The XRD data for allophane (Figure 12) showed a characteristic broad maximum near  $3^{\circ}2\theta$  and a largely featureless diffraction pattern. Data measured as a function of RH showed large decreases in low-angle scattering intensity with increasing RH similar to those observed for imogolite (Figure 11). In the experiment with allophane, the present results were similar to a previous study (figure 1 in van der Gaast *et al.*, 1985) where the strong  $\sim 3^{\circ}2\theta$  peak observed under low-RH conditions disappeared under 100% RH. However, these results are different from those of Kaufhold *et al.* (2010) who measured the XRD pattern of an allophane-rich soil under variable RH conditions and observed little change in the scattering intensity. In that study, however, the XRD pattern appears to reflect the minor feldspar and quartz minerals rather than the allophane. In the present study, the low-angle peak at  $\sim 3^{\circ}2\theta$  decreased systematically from 0 to 95% RH and then increased again with a reduction in RH towards 0%. The character of the low-angle scattering changed significantly, with the greatest intensity increase at the lowest angles. As noted above, these intensity changes are not typically observed with well ordered materials, and are probably related to adsorption of  $H_2O$  molecules onto the allophane surface, as suggested by van der Gaast *et al.* (1985). Those authors postulated that the adsorption of  $H_2O$  molecules onto the allophane surfaces disrupted the regular packing of allophane pseudo-spherical particles. The present results for imogolite suggest the existence of a similar process in this tubular material.

## DISCUSSION

Band assignments were made for allophane and imogolite based on the results of the present study of reflectance and emissivity spectra and previous studies of transmittance spectra (*e.g.* Farmer *et al.*, 1977, 1979, 1983; Parfitt and Henmi, 1980; Parfitt *et al.*, 1980; Henmi *et al.*, 1981). These are expressed in both frequency (wavenumber) and wavelength units ( $cm^{-1}$  and  $\mu m$ , respectively) for allophane (Table 1) and imogolite (Table 2) in order to facilitate usage in both the fields of chemistry and geology. The band centers for the OH and  $H_2O$  bands were used to compare calculated

Table 1. Band assignments for spectral features observed for allophane.

Wavenumber ( $cm^{-1}$ )	Wavelength ( $\mu m$ )	Feature
7263	1.377	OH <sub>2v</sub>
7104–7139	1.401–1.407	OH <sub>2v</sub>
6900	1.45	H <sub>2</sub> O <sub>2v</sub>
5220	1.916	H <sub>2</sub> O <sub>v+δ</sub>
4560	2.193	OH <sub>v+δ</sub>
4495	2.225	OH <sub>v+δ</sub>
~3640–3740	~2.67–2.74	OH <sub>v</sub>
~3500–3600	~2.78–2.86	H <sub>2</sub> O <sub>v</sub>
~3120–3165	~3.2	H <sub>2</sub> O <sub>2δ</sub>
1606–1667	6.00–6.23	H <sub>2</sub> O <sub>δ</sub>
1430–1485	6.73–6.99	OH <sub>δ</sub> for O <sub>3</sub> SiOH
1030	9.7	Si–O–Al <sub>v</sub>
940	10.6	Si–O–Al <sub>v</sub>
825	12.1	OH <sub>δ</sub>
610	16.4	out-of-plane OH <sub>δ</sub>
545	18.3	Si–O–Al <sub>δ</sub>
420	23.8	Si–O <sub>δ</sub>
335	29.9	Si–O <sub>δ</sub>

v indicates stretching vibration; δ indicates bending vibration; band assignments are based on the present study and on previous transmission IR studies (*e.g.* Farmer *et al.*, 1977; Parfitt *et al.*, 1980; Henmi *et al.*, 1981).

Table 2. Band assignments for spectral features observed for imogolite.

Wavenumber (cm <sup>-1</sup> )	Wavelength (μm)	Feature
7285–7305	1.369–1.373	OH <sub>2v</sub>
7170–7205	1.388–1.394	OH <sub>2v</sub>
6930	1.44	H <sub>2</sub> O <sub>2v</sub>
5200	1.923	H <sub>2</sub> O <sub>v+δ</sub>
4565	2.191	OH <sub>v+δ</sub>
4502	2.221	OH <sub>v+δ</sub>
3700		OH <sub>v</sub>
~3670–3755	~2.66–2.72	OH <sub>v</sub>
~3500–3600	~2.78–2.86	H <sub>2</sub> O <sub>v</sub>
~3150	~3.2	H <sub>2</sub> O <sub>2δ</sub>
1604–1662	6.02–6.23	H <sub>2</sub> O <sub>δ</sub>
~1400–1421	7.14–7.37	OH bend for O <sub>3</sub> SiOH
1010	9.9	Si–O–Al <sub>v</sub>
930	10.8	Si–O–Al <sub>v</sub>
825	12.1	OH <sub>δ</sub>
595	16.8	out-of-plane OH <sub>δ</sub>
495	20.2	Si–O–Al <sub>δ</sub>
415	24.1	Si–O <sub>δ</sub>
335	29.9	Si–O <sub>δ</sub>

v indicates stretching vibration; δ indicates bending vibration; band assignments are based on the present study and on previous transmission IR studies (*e.g.* Farmer *et al.*, 1977; Parfitt *et al.*, 1980; Henmi *et al.*, 1981).

and measured frequencies of the combination bands and overtones in relation to the fundamental vibrations (Tables 3 and 4) as in previous studies (Bishop *et al.*, 2002a; Petit *et al.*, 2004).

The frequency of the OH<sub>v+δ</sub> combination band should equal the sum of the frequencies of the OH<sub>v</sub> and OH<sub>δ</sub>

bands. The OH<sub>v</sub> band is difficult to resolve in reflectance spectra because of the multiple, overlapping vibrations near 3300–3760 cm<sup>-1</sup> (~2.7–3.0 μm) due to a distribution of H<sub>2</sub>O<sub>v</sub> and H<sub>2</sub>O<sub>2δ</sub> bands. The frequencies of the OH<sub>v</sub> vibration for allophane and imogolite were estimated by subtracting the OH<sub>δ</sub> frequency from the OH<sub>v+δ</sub> frequency as in Bishop *et al.* (2002a) for phyllosilicates. The OH<sub>v</sub> frequency was also estimated from the OH<sub>2v</sub> frequency using the equation  $F_{2v} = 2(F_v) - 171.27$ , where  $F$  is frequency (cm<sup>-1</sup>), as in Petit *et al.* (2004) for phyllosilicates. Good agreement was found using these two methods, lending support to the band assignments. Two sets of OH<sub>v</sub> vibrations were found for allophane and imogolite (Table 3b). The OH<sub>v</sub> vibrations were estimated at 3638–3670 cm<sup>-1</sup> (2.72–2.75 μm) and 3717–3740 cm<sup>-1</sup> (2.67–2.69 μm) for allophane and at 3671–3688 cm<sup>-1</sup> (2.71–2.72 μm) and 3728–3755 cm<sup>-1</sup> (2.66–2.68 μm) for imogolite. For both allophane and imogolite, a doublet was present for the OH<sub>2v</sub>. The lower-frequency vibration was shown to be due to OH<sub>2v</sub> rather than H<sub>2</sub>O<sub>2v</sub> as the derived OH<sub>v</sub> frequency is comparable to the OH<sub>v</sub> frequency derived from a shoulder on the OH<sub>v+δ</sub> band and the OH<sub>δ</sub> band. A very weak band was observed near 4350 cm<sup>-1</sup> (2.30 μm) for both allophane and imogolite (OH<sub>v+δ</sub> (2), Table 3a). This band assignment was tested by calculating OH<sub>v</sub> from this and the potential OH<sub>δ</sub> (2) band at 595–610 cm<sup>-1</sup> (Table 3b). The agreement of this OH<sub>v</sub> with that determined from the overtone is within 25 cm<sup>-1</sup>, which suggests that the 610 cm<sup>-1</sup> band in allophane spectra and the 595 cm<sup>-1</sup> band in imogolite spectra are indeed due to OH-bending vibrations and not to Al–O vibrations.

The frequency of the H<sub>2</sub>O<sub>v</sub> vibration was estimated in a similar manner to that of the OH<sub>v</sub>, using the measured

Table 3a. Measured band centers for OH vibrations.

	OH <sub>2v</sub>	OH <sub>2v</sub> *	OH <sub>v+δ</sub> (1)	OH <sub>v+δ</sub> (1)*	OH <sub>v+δ</sub> (2)	OH <sub>δ</sub> (1)	OH <sub>δ</sub> (2)
Allophane natural	7263	7139	4560	4495	4350	825	610
Allophane synthetic	7263	7104	4560	4495	4350	825	610
Imogolite natural	7305	7170	4565	4502	4350	825	595
Imogolite synthetic	7285	7205	4565	4502	4350	825	595

v indicates stretching vibration; δ indicates bending vibration; \* indicates estimated band based on shoulder.

Table 3b. Calculated band centers for OH vibrations.

	OH <sub>v</sub> calc. from OH <sub>2v</sub>	OH <sub>v</sub> * calc. from OH <sub>2v</sub>	OH <sub>v</sub> (1) calc. from OH <sub>v+δ</sub> (1)	OH <sub>v</sub> (1)* calc. from OH <sub>v+δ</sub> (1)*	OH <sub>v</sub> (2) calc. from OH <sub>v+δ</sub> (2)
Allophane natural	3717	3655	3735	3670	3740
Allophane synthetic	3717	3638	3735	3670	3740
Imogolite natural	3738	3671	3740	3677	3755
Imogolite synthetic	3728	3688	3740	3677	3755

v indicates stretching vibration; δ indicates bending vibration; \* indicates estimated band based on shoulder.

Table 4. Measured and calculated band centers for H<sub>2</sub>O vibrations.

	H <sub>2</sub> O <sub>v</sub> calc. from H <sub>2</sub> O <sub>2v</sub>	H <sub>2</sub> O <sub>v</sub> calc. from H <sub>2</sub> O <sub>v+δ</sub>	H <sub>2</sub> O <sub>2δ</sub> (1) calc. from H <sub>2</sub> O <sub>δ</sub> (1)	H <sub>2</sub> O <sub>2δ</sub> (2) calc. from H <sub>2</sub> O <sub>δ</sub> (2)	H <sub>2</sub> O <sub>2v</sub> ' meas.	H <sub>2</sub> O <sub>v+δ</sub> meas.	H <sub>2</sub> O <sub>δ</sub> (1) meas.	H <sub>2</sub> O <sub>δ</sub> (2) meas.
Allophane natural	3536	3553	3163	3041	6900	5220	1667	1606
Allophane synthetic	3536	3575	3119		6900	5220	1645	
Imogolite natural	3551	3538	3153	3037	6930	5200	1662	1604
Imogolite synthetic	3551	3538	3153		6930	5200	1662	

Calc. is calculated band centers; meas. is measured band centers; v indicates stretching vibration; δ indicates bending vibration; ' indicates band that appeared under ambient (hydrated) conditions.

combination and overtone frequencies (Table 4). The H<sub>2</sub>O<sub>2v</sub> vibration was greatly enhanced in the ambient spectra that were measured under higher humidity conditions, but was very weak in the low-RH spectra. The H<sub>2</sub>O<sub>v</sub> frequencies calculated from this overtone were close to the H<sub>2</sub>O<sub>v</sub> values determined from the measured frequencies of the clearly observed H<sub>2</sub>O<sub>v+δ</sub> and H<sub>2</sub>O<sub>δ</sub> bands (Tables 1 and 2). This supports the assignment of the H<sub>2</sub>O<sub>2v</sub> frequency near 6900–6930 cm<sup>-1</sup> (1.44–1.45 μm) for allophane and imogolite, rather than at higher wavenumbers where the OH<sub>2v</sub> vibration is located.

The significant differences observed for the H<sub>2</sub>O bands in spectra measured under different RH conditions and the marked changes in XRD intensity with changing RH conditions indicate that both allophane and imogolite readily adsorb H<sub>2</sub>O molecules onto their surfaces and that this additional H<sub>2</sub>O affects their structures. The XRD intensity near 4–9°2θ for smectites increased with increasing RH, the opposite of what was observed for allophane and imogolite (Figures 11–12). The adsorption of H<sub>2</sub>O molecules at high relative humidity was suggested by van der Gaast *et al.* (1985) to disrupt the regular packing of allophane spheres, thereby disrupting the semi-regular arrangement and decreasing the low-angle scattering. Although van der Gaast *et al.* (1985) did not see a significant decrease in low-angle scattering intensity with humidity for imogolite, the present results suggest that a process similar to that of allophane also operates for imogolite. Additional low-angle scattering studies are needed to fully understand the XRD results.

The spectral signatures for the allophane-rich soil and purified allophane sample, as well as the imogolite-rich soil and the purified imogolite sample, were, in general, quite similar. This bodes well for remote detection of allophane and imogolite. The presence of ferric oxide-bearing phases contributes an increased slope to the spectra from 0.4–0.6 μm and adds a weak band near 0.9 μm (Figure 4). Ferric oxide-bearing phases have been observed in other studies of natural allophane-rich soils (*e.g.* Kaufhold *et al.*, 2010) and may be commonly present in these materials. Further, the Kaufhold *et al.* (2010) study suggested that some of the Fe is present in

the allophane structure, supporting the need for additional analysis of synthetic Fe-bearing allophanes (*e.g.* Baker and Strawn, 2012) to better understand this phase. The spectral signatures in the TIR region (Figure 6) are also largely unchanged for the soils and purified samples. The spectral region that is affected the most is from 1300–1800 cm<sup>-1</sup> (5.6–7.7 μm) and may include contributions from organic admixtures. Changes were observed in the H<sub>2</sub>O bending doublet near 1604–1667 cm<sup>-1</sup> (6.0–6.2 μm) and in the OH-bending doublet attributed to O<sub>3</sub>SiOH near 1400–1485 cm<sup>-1</sup> (~7.1–7.4 μm). The doublets for both of these features tended to be shifted or less well resolved for spectra of the soils compared to spectra of the purified samples. Differences were also noted for these features in spectra of the natural and synthetic allophanes and imogolites, which suggest that these bands are less well defined for these samples than the spectral features in the VNIR and TIR regions more commonly used in remote-sensing studies.

## SUMMARY AND CONCLUSIONS

This study of the spectral and hydration properties of allophane and imogolite builds on the results of many earlier studies to produce reflectance and emissivity spectra needed for remote-sensing detection of these minerals. As imogolite and allophane are generally indicators of geochemical conditions different from phyllosilicates such as smectite and halloysite, identification of imogolite and allophane should enable a more complete view of the geochemical environment during formation. Both natural and synthetic samples were studied here in order to observe which spectral features are constant and which are variable, depending on minor differences in Si/Al ratio, hydration state, and other mineral parameters. The spectra of allophane-rich soil and imogolite-rich soil were also compared with the spectra of the purified samples in order to evaluate which spectral features could be changed or masked in natural environments. The diagnostic VNIR and TIR features for allophane and imogolite are mostly unchanged in the spectra of the soil and the natural

and synthetic samples. However, the spectral features in the range 1300–1800  $\text{cm}^{-1}$  (5.6–7.7  $\mu\text{m}$ ) exhibited variations among the soil and the natural and synthetic samples that make the features due to  $\text{H}_2\text{O}_\delta$  and  $\text{OH}_\delta$  in  $\text{O}_3\text{SiOH}$  less useful for remote-sensing studies of allophane and imogolite.

Allophane and imogolite spectral properties are sufficiently different from each other and related clay minerals and hydrated silica components to allow for their identification using remote sensing. However, a wide distribution in structure and composition exists among allophane and imogolite types, and samples at the borders of these groups will, therefore, be more difficult to discriminate. Allophane can be identified in the NIR region using the OH overtone doublet at 1.38 and 1.40  $\mu\text{m}$ , the  $\text{H}_2\text{O}$  combination band at 1.92  $\mu\text{m}$ , and the OH combination band at 2.19  $\mu\text{m}$ . The doublet occurs at 1.37 and 1.39  $\mu\text{m}$  for imogolite. The OH overtone doublet and combination band in spectra of allophane and imogolite occur at shorter wavelengths compared to these features in spectra of other aluminosilicates and opaline silica. The  $\text{H}_2\text{O}$  combination band occurs at longer wavelengths for allophane and imogolite spectra. The OH combination band for allophane and imogolite is broader than that observed for smectites but narrower than that observed for opaline silica. The combination of these spectral parameters should enable identification and discrimination of allophane and imogolite from other aluminosilicates in reflectance spectroscopy investigations. As bands are observed near 1.4, 1.9, and 2.2  $\mu\text{m}$  for many  $\text{AlOH}$ - and  $\text{SiOH}$ -bearing minerals, remote-sensing studies involving two or more of these features will be able to more accurately identify and distinguish allophane and imogolite from other aluminosilicates. The TIR region spectra of allophane and imogolite exhibit striking differences in the Si–O–Al stretching and bending vibrations compared with those in other aluminosilicates and opaline silica. The Si–O–Al stretching bands near 1030 and 940  $\text{cm}^{-1}$  (~9.7 and 10.6  $\mu\text{m}$ ) and the Si–O–Al bending bands near 545 and 420  $\text{cm}^{-1}$  (~18 and 24  $\mu\text{m}$ ) in spectra of allophane are shifted sufficiently from the Si–O–Al stretching bands near 1010 and 930  $\text{cm}^{-1}$  (~9.9 and 10.7  $\mu\text{m}$ ) and the Si–O–Al bending bands near 495 and 415  $\text{cm}^{-1}$  (~20 and 24  $\mu\text{m}$ ) in spectra of imogolite to distinguish these samples in emissivity spectra. The Si–O–Al stretching and bending bands for allophane and imogolite are shifted greatly from those of other aluminosilicates and opaline silica and should enable unique detections of these phases in emission spectroscopy studies.

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